

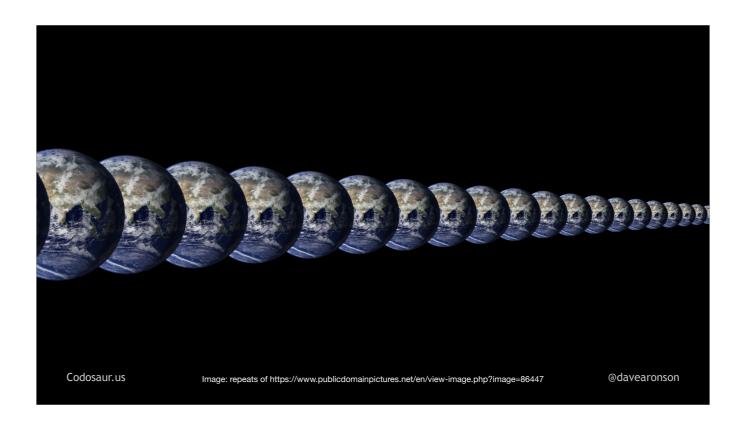
(Blank slide so I can flip to a new one to start my timer, ignore this.)

CURRENT TIME: ~22, want ideally 20, up to 25



Hi everybody, I'm Dave Aronson, the T. Rex of Codosaurus, and I'm here to teach you to KILL MUTANTS!

So what on . . .



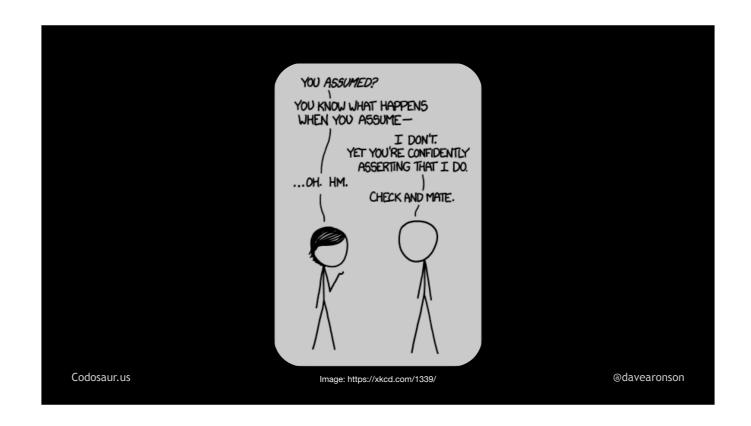
. . . Infinite Earths, makes . . .



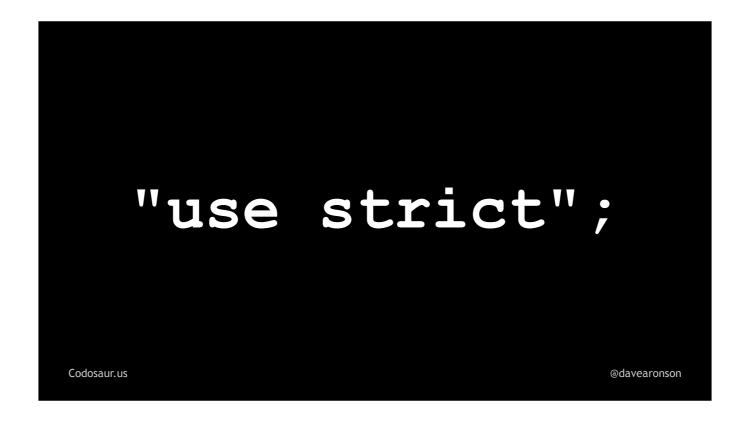
... mutation testing different from all the other software testing techniques? The main difference is that most of the others are about ...



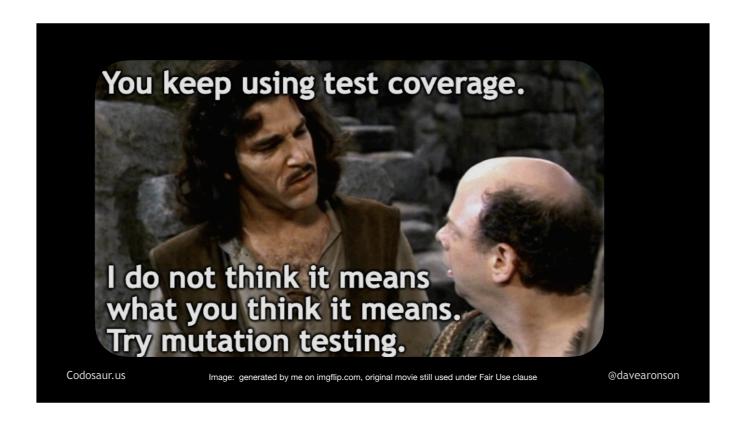
... checking whether our code is correct. But mutation testing ...



... assumes that our code is correct, at least in the sense of passing its tests. Instead, mutation testing checks two other qualities. In a typical codebase, I think the more important one is that our test suite is ...



... strict. Now you might think that's what test coverage is for, but ...



... no. The only thing that test coverage tells us is that at least one test ran ...

```
class Conway:
   ALIVE = "*"
   DEAD = " "

@classmethod
   def next state(cls, cur_state, neighbors):
        if cur_state == cls.ALIVE:
            r = cls.ALIVE if neighbors in [2,3] else cls.DEAD
        else:
            r = cls.ALIVE if neighbors == 3 else cls.DEAD
        return r

def another func:
    # whatever
Codosaurus
Codosaurus
@davearonson
```

... the code it claims is "covered". It tells us NOTHING about whether the *correctness* of the code *made any difference* to whether any test passed. And that's what "tested" really *means*, right?

So how *can* we tell if our code really is tested? That's where mutation testing comes in. To check that our test suite is *strict*, a mutation testing tool will try to . . .



. . . find the gaps in our test suite, that let our code get away with unintended behavior. Once we find gaps, we can close them by either adding tests or improving existing tests. Lack of strictness comes mainly from *lack* of tests, or poorly *written* tests.

The other thing mutation testing checks is that our code is . . .



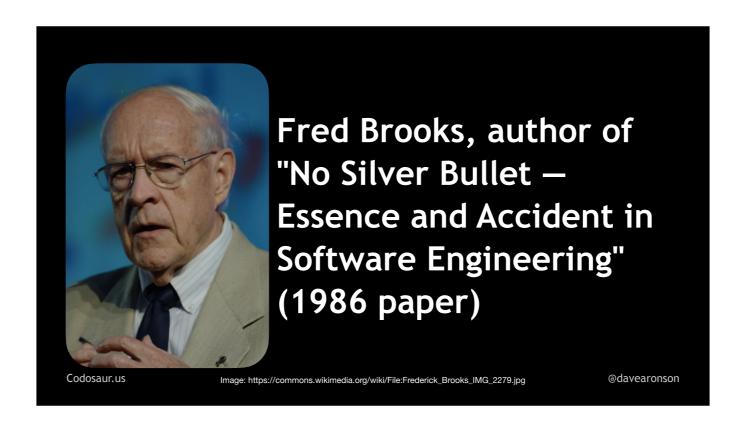
... meaningful, so that any semantic change to the code will produce a noticeable change in its behavior. Lack of meaning comes mainly from code being unreachable, redundant, or otherwise just not having any real effect. When we find "meaningless" code, the usual fix is just to remove it.

Mutation testing . . .



. . . puts these two together, by checking that every change to the code, that the tool knows how to do, does make a noticeable change to its behavior, and that the test suite is strict enough that at least one test notices that change, and fails.

That's the positive side, but there are some drawbacks. As . . .



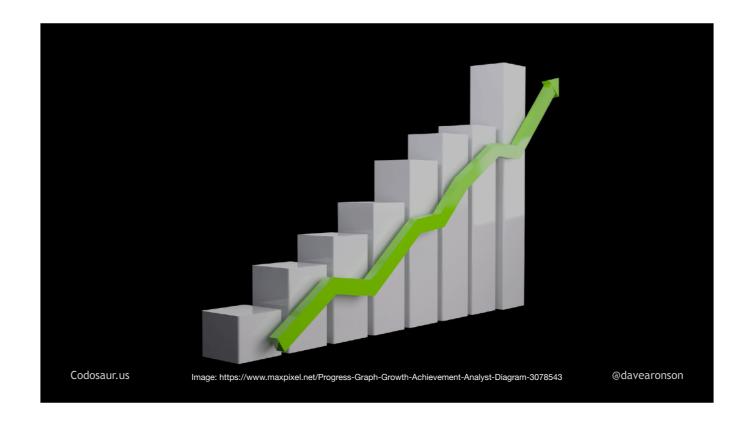
... Fred Brooks told us in 1986, there's no ...



. . . silver bullet! The first drawback is that it's rather . . .



... hard labor for the CPU, and therefore usually ra-ther slllooooow. We certainly won't want to mutation-test our entire codebase every time we save a file! Maybe over a lunch break for a smallish system, or a weekend for a large one. Fortunately, most tools let us just check specific functions, classes, files, and so on. Plus, they usually include some kind of . . .

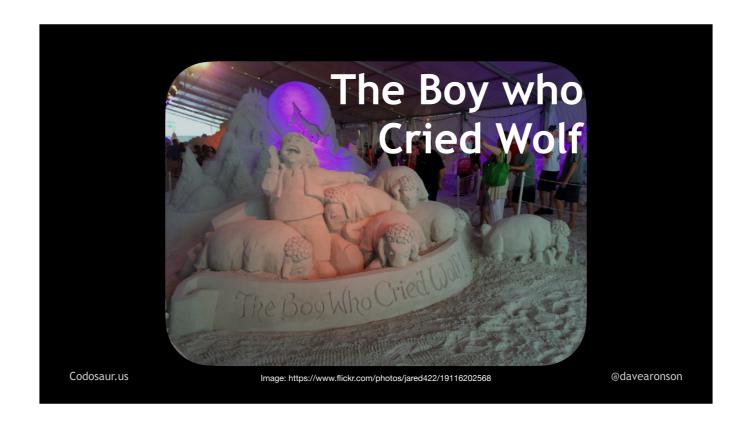


. . . incremental mode, so that we can test only the changes since the last mutation test, or the last git commit, or the changes from the main branch, or some such milestone. With such filtering, maybe we can test just the relevant changes on each save, or at least over a much shorter break.

Another drawback is that it's often . . .

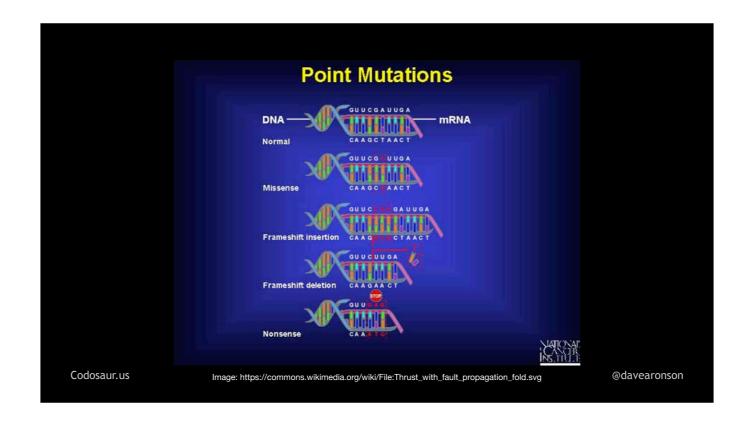


... not at all clear what to do about the results! It tells us that some particular change to the code made no difference to the test results, but what does that even mean? It takes a lot of interpretation to figure out what a mutant is trying to tell us. They're usually trying to tell us that our code is meaningless, or our tests are lax, or both, but it can be very hard to figure out exactly how! Even worse, sometimes it's a . . .

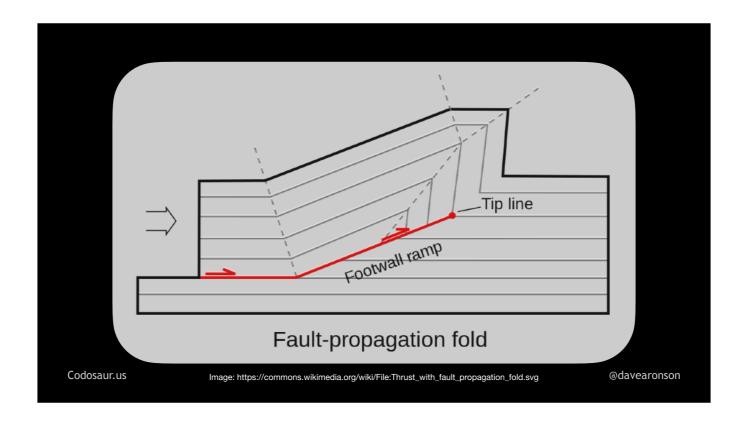


. . . false alarm, because the mutation didn't make a test fail, but it didn't make any actual difference in the first place. It can still take quite a lot of time and effort to figure that out.

Now that we've seen some of the pros and cons, what does mutation testing do? It . . .



... mutates copies of our code, hence the name. It does this to create test failures, also known as ...



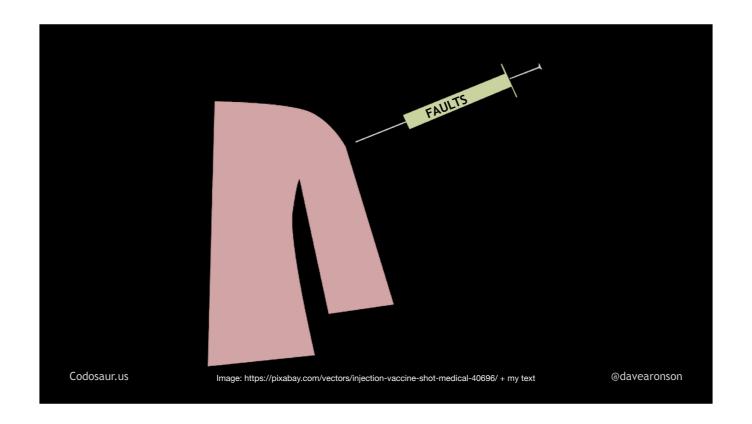
... faults. So, mutation testing can be categorized as a "fault-based" testing technique, which means that it's related to something you might already know:



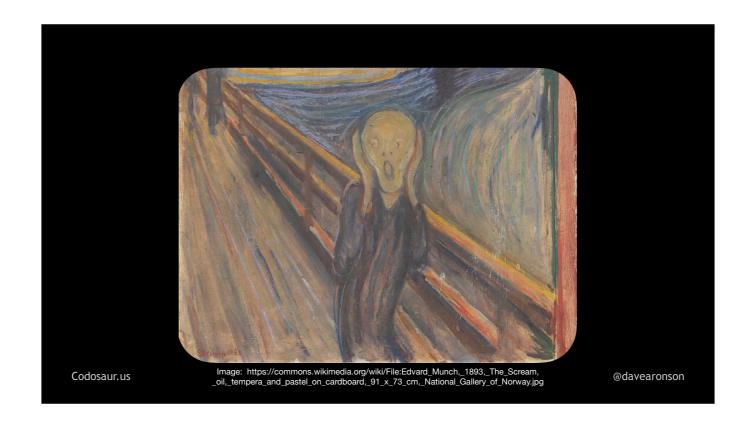
... Chaos Monkey, from Netflix. But the way mutation testing does it, is sort of ...



... upside down from what Chaos Monkey does. Chaos Monkey is best known for ...



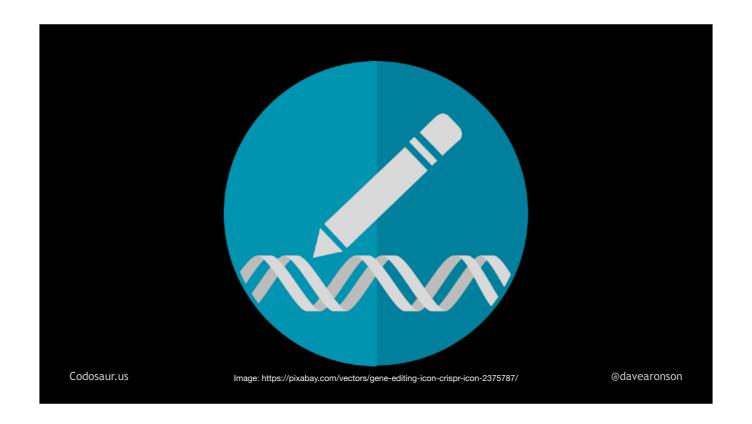
... injecting faults into Netflix's production network. (QUICK-CUT TO NEXT SLIDE!)



If all still goes well, in the sense that Netflix's customers don't notice, and their metrics still look good, then Netflix knows that their error recovery is working fine. Mutation testing, however, injects semantic . . .



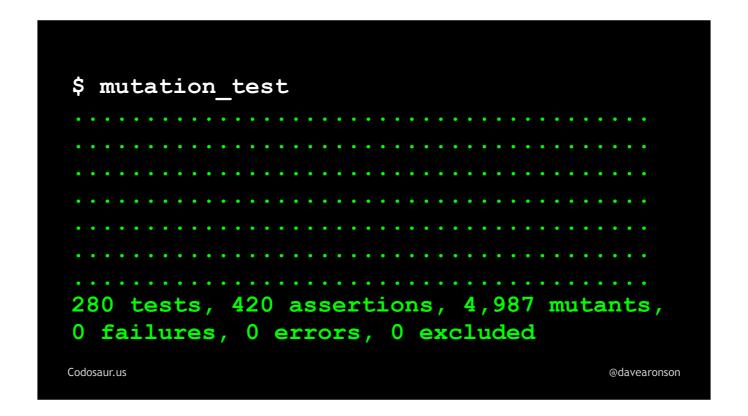
... changes, not necessarily problems. We hope each of these changes will create faults, but that depends on the test suite. It injects them into ...



... copies of our code, not our actual network, and does this in our ...



... test environment, not production. (Whew!) And if everything still goes well, in the sense that ...



. . . our tests all still pass, that *doesn't* mean that all is well, that means that . . .



. . . there *is* a problem! Remember, each change to our code should make *at least* one test *fail*.

So how does mutation testing actually work? First, the tool . . .



. . . breaks our code apart into pieces to test, usually our functions. Then, for each one, it makes . . .



. . . mutants from that function. To do that, it looks at it to see how it can be changed, and for each way, the tool makes . . .



... one mutant, with that one mutation.

Once our tool is done creating all the mutants it can for a given function, it iterates over . . .



... that list. And now we get to the heart of the concept.

Mutating function whatever, at something.py:42											
Test # Mutant #	1	2	3	4	5	6	7	8	9	10	Result
1	~	~	~	~	X						In Progress
2											To Do
3											To Do
4											To Do
5											To Do

This chart represents the progress of our tool. Most of them don't give us all this info, let alone so organized, but it's a useful *conceptual* model. For each . . .

	Mutatin	ng fu	unct	tion	wh	ate	ver	, at	so	met	hin	g.py:42
Mut	Test # ant #	1	2	3	4	5	6	7	8	9	10	Result
	1	~	~	~	~							In Progress
	2											To Do
	3											To Do
	4											To Do
	5											To Do
Codosaur.u	us											@davearonso

... mutant, derived from ...

Mutating function whatever, at something.py:42											
Test #		۷	3	1	5	6	7	8	9	10	Result
Mutant #					=						
1	~	~	~	~							In Progress
2											To Do
3											To Do
4											To Do
5											To Do
odosaur.us											@davearon

. . . a given function, the tool runs the function's . . .

Mutatir	g fi	ınci	ion	h	ato	··	, at	901	met	hin	g.py:42
Test #	1	2	3	4	5	6	7	8	9	10	Result
Mutant #											Result
1	~	~	~	~							In Progress
2											To Do
3											To Do
4											To Do
5											To Do

... tests, but it runs them ...

Mutating function whatever, at something.py:42												
Test # Mutant #		2	3	4	5	6	7	8	9	10	Result	
1	v	~	~	~	X						In Progress	
2											To Do	
3											To Do	
4											To Do	
5											To Do	

... using the *current mutant* in place of the original function.

(PAUSE) If any test . . .

Mutatir	ng fu	unct	tion	wh	ate	ver	at	so	met	hin	g.py:42
Test # Mutant #	1	2	3	4	5	6	7	8	9	10	Result
1	~	~	~		X						In Progress
2											To Do
3											To Do
4											To Do
5											To Do

... fails, this is called ...



... "killing the mutant", and it's a ...



... good thing. It means that our code is meaningful enough that the change that the tool made, to create this mutant, made a difference in the function's behavior, and that at least one test noticed that difference, and failed. Then, the tool will ...

.py:42	hing	met	soı	, at	ver	ate	wh	tion	ınct	g fu	Mutatir
Result	10	9	8	7	6	5	4	3	2	1	Test # Mutant #
Killed						×	~	~	~	V	1
To Do											2
To Do											3
To Do											4
To Do											5

... mark that mutant killed, ...

Mutatir	ng fi	unct	tion	wh	ate	ver	, at	so	met	hin	g.py:42
Test # Mutant #		2	3	4	5	6	7	8	9	10	Result
1	~	~	~	~	X						Killed
2											To Do
3											To Do
4											To Do
5											To Do

. . . stop running any more tests against it, and . . .

Mutatir	ng fi	unct	tion	wh	ate	ver	, at	so	met	hin	g.py:42
Test # Mutant #	1	2	3	4	5	6	7	8	9	10	Result
1	~	~	~	~	X						Killed
2	X										In Progress
3											To Do
4											To Do
5											To Do

... move on to the next one. Once a mutant has made *one* test fail, we don't care how many more it *could* make fail. Like so much in computers, we only care about ones and zeroes.

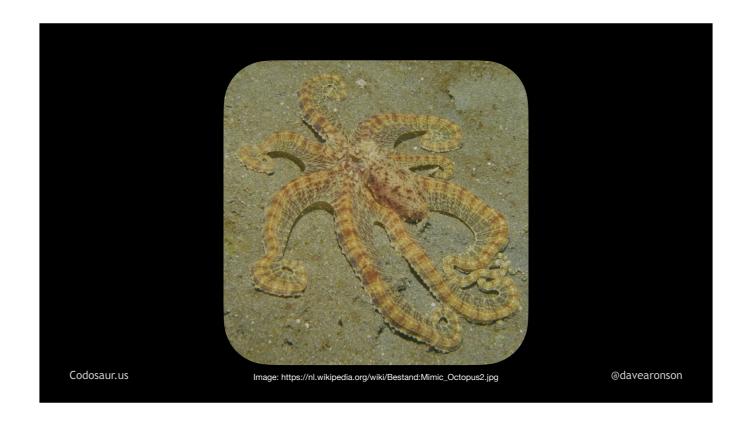
On the other claw, if a mutant . . .

Mutatir	ng fu	unc	tion	wh	ate	ver	, at	so	met	hin	g.py:42
Test # Mutant #		2	3	4	5	6	7	8	9	10	Result
1	V	~	~	~	×						Killed
2	V	~	~	~	~	V	V	V	V	~	In Progress
3											To Do
4											To Do
5											To Do

. . . lets all the tests pass, then the mutant is said to have . . .

Mutatir	ng fu	unc	tion	wh	ate	ver	, at	soi	net	hin	g.py:42
Test # Mutant #	1	2	3	4	5	6	7	8	9	10	Result
1	~	~	~	~	X						Killed
2	V	~	~	~	~	V	~	~	V		Survived!
3											To Do
4											To Do
5											To Do

. . . survived. That means that the mutant has the . . .



... superpower of mimicry, skilled enough to *fool our tests!* This usually means that our code is meaningless, or our tests are lax, or both — and now it's up to us to figure out how.

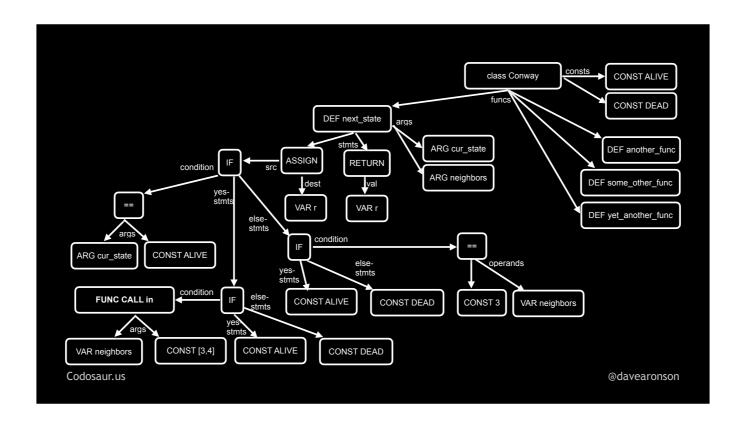
Now let's peel back one . . .



... layer of the onion, and look at some technical details of how this works. First, our tool parses ...

```
class Conway:
    ALIVE = "*"
    DEAD = " "
    @classmethod
    def next_state(cls, cur_state, neighbors):
      if cur state == cls.ALIVE:
        result = cls.ALIVE if neighbors in [2,3] else cls.DEAD
      else:
        result = cls.ALIVE if neighbors == 3 else cls.DEAD
      return result
    def another func:
      # whatever
    def some other func:
      # whatever
    def yet_another_func:
# whatever Codosaur.us
                                                           @davearonson
```

... our code, so this code (which you don't really need to read and understand) becomes ...



. . . this Abstract Syntax Tree (which you also don't really need to understand, except to notice that it includes some function definitions, one of which I've fleshed out in full). Then it . . .



... traverses the tree, looking for sub-trees, or branches if you will, that represent each function. From each one, it makes mutants. To make mutants from an AST subtree, it ...



. . . traverses that subtree, just like it did to the whole thing. However, now, instead of looking for even *smaller* subtrees it can *extract*, like twigs or something, it's looking for *nodes* where it can *change* something. Each time it finds one, then for each way it can change that node, it makes one copy of the function's AST subtree, with that one node changed, in that one way.

Now, I've been talking a lot about changing things, so what kind of changes are we talking about? There are quite a lot!

```
x + y could become: x - y
x * y
x / y
x ** y

x | | y could become: x && y
x ^ y

x | y could become: x & y
x ^ y

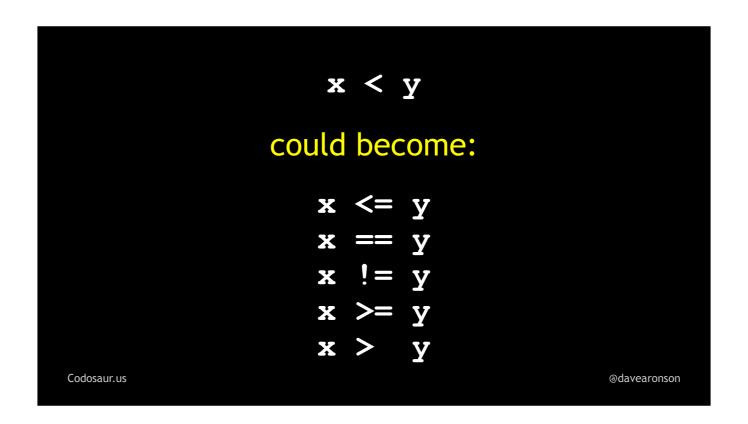
Maybe even swap between sets!
Codosaur.us
```

It could change a mathematical, logical, or bitwise operator from one to another.

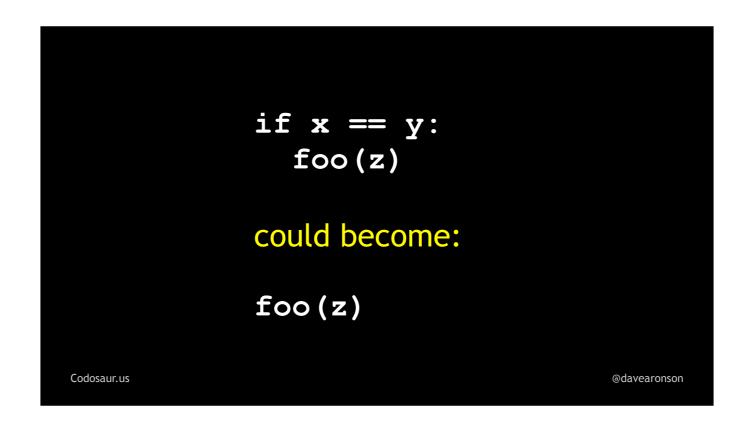
```
x - y could also become y - x
x / y could also become y / x
x ** y could also become y ** x
"x" + "y" could also become "y" + "x"

Codosaur.us
@dayearonson
```

When the *order* of operands matters, it could *swap* them.



It could change a *comparison* from one to another.



It can remove an if-condition . . .

```
while x == y:
    foo(z)

could become:
foo(z)
Codosaur.us
```

... or a looping condition.

```
def f(x, y): # lots of code here
    could become:
    def f(x, y): return 0
    def f(x, y): return :math.max_int
    def f(x, y): return "a string"
    def f(x, y): return nil
    def f(x, y): return x # or y
    def f(x, y): fail("kaboom")
    def f(x, y): # nothing
    etc.
```

It could replace a function's entire contents with returning a constant, or any of the arguments, or raising an error, or nothing at all.

```
43
                    "42"
                            math.min int
              41
                    [42]
                            math.max int
             -42
42
                    {42}
                            math.min float
could
                    []
()
                            math.max float
               0
                            math.infinity
become:
                    {}
                            math.epsilon
           42.1
                    None
                            and many more
           41.9
Codosaur.us
                                         @davearonson
```

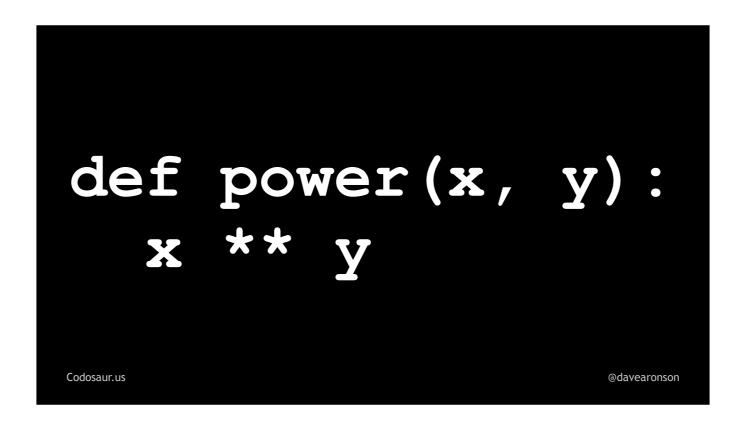
It could change a value to some other value, even changing it to an incompatible type, such as changing a number into a, if I may quote . . .



... Smeagol, "string, or nothing!"

There are *many* more types of changes, but I trust you get the idea!

There are no more low-level details I want to add, so let's finally walk through some examples! We'll start with an easy one. Suppose we have a function . . .



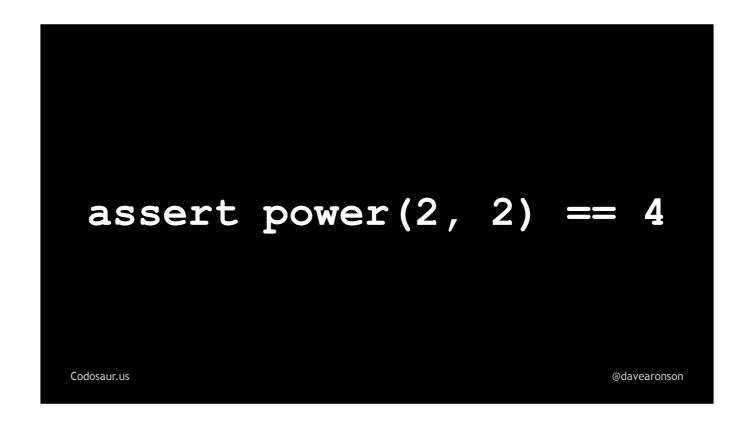
... like so. Never mind why, it just makes a good simple example, so let's roll with it.

Think about what a mutant made from this might return. Mainly, it could return results such as . . .

```
math.min_int
                          math.max int
                          math.max float
                          math.min float
                          math.infinity
     y ** x
                          math.epsilon
     X
                          raise(DeliberateError)
     У
                          "some random string"
     0
                          1
                           ()
     -1
                          {}
     0.1
                          None
     -0.1
                          and many more
Codosaur.us
                                              @davearonson
```

. . . any of *these* expressions or constants, and many more but I had to stop somewhere.

Now suppose we had only one test . . .



. . . like so. This is a rather poor test, and I think at least one reason why is clear to most of us, but even so, *most* of those mutants on the previous slide *would get killed* by this test, the ones shown . . .

```
x + y
x - y
x * y
x * y
y *** x

math.max_int
math.max_float
math.min_float
math.infinity
math.infinity
math.epsilon
raise(DeliberateError)
"some random string"

-1
-1
0.1
-0.1
None
and many more
Codosaur.us
```

... here in crossed-out green. The ones returning constants, are very unlikely to match. There's no particular reason a tool would put a 4 there, as opposed to zero, 1, -1, and other such significant numbers. Changing the exponentiation into subtracting one argument from the other gets us zero, dividing them gets us one, returning either one alone gets us two, and the mismatched types and deliberate errors will at *least* make the test not pass. But . . .

```
math.min_int
math.max_int
math.max_float
math.min_float
math.infinity
math.epsilon
raise(DeliberateError)
"some random string"

1
-1
0.1
0.1
None
and many more
©davearonson
```

. . . addition, multiplication, and exponentiation in the reverse order, all get us the correct answer. So, mutants based on *these* mutations will "survive" our test. This is the usual way mutants survive, by . . .

... returning the same result as the original function. Or they have the same side effect — whatever our tests are looking at. To determine how that *happens*, it helps to take a closer look at it *along with* a test it passes. Let's start with . . .

```
the change:

43 - x ** y
43 + x + y

our test:

assert power(2, 2) == 4
```

... the "plus" mutant. Looking at the change, together with our test, makes it clear that this one survives because ...



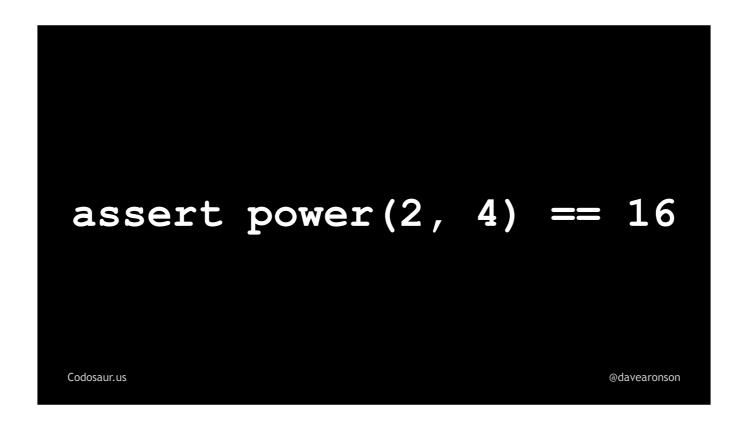
. . . two *plus* two equals two *to* the two. (And so does two *times* two, but he's in the background, we can save him for later.)

So how can we *kill* . . .

```
the change:
    43 - x ** y
    43 + x + y

our test:
    assert power(2, 2) == 4
```

. . . this mutant, in other words, make at least one test fail when run against it, that would pass when run against the original code? To do that, we need to make at least one test use inputs such that x *plus* y is different from x *to the* y. For instance, we could add a test or change our existing test to . . .



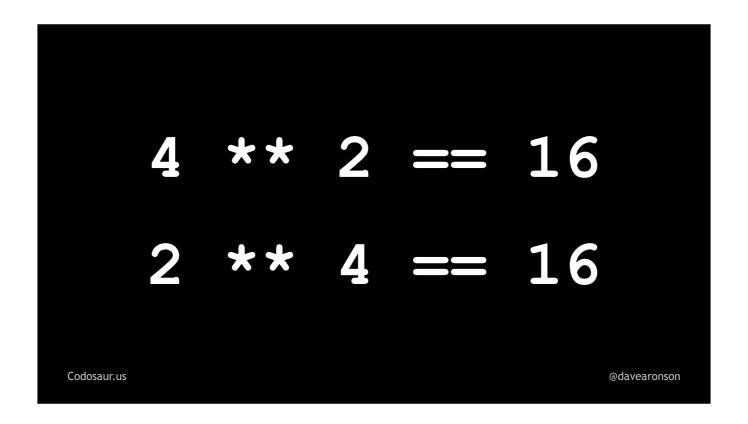
. . . assert that two to the *fourth* power is *sixteen*. All the mutants that our original test killed, *this would still kill*. But also, two *plus* four is six, not six*teen*, so this kills the plus mutant. See how that works?

Better yet, two *times* four is eight, which is *also* not sixteen! So, this kills the "times" mutant as well. Killing one mutant often kills many other mutants of the same function.

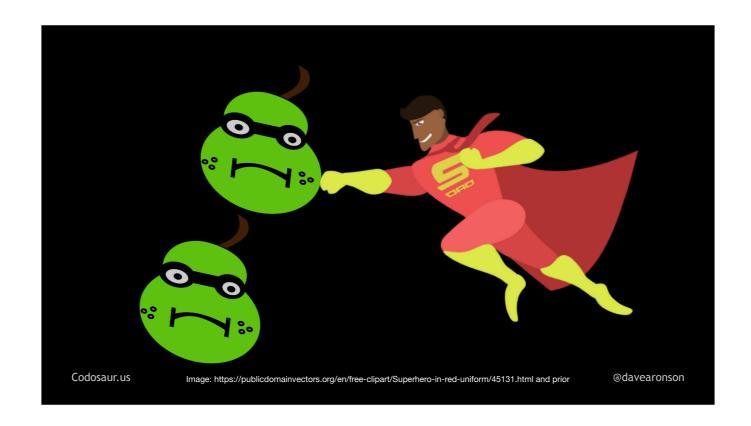
But . . .



. . . the pair of argument-swapping mutants survive, because . . .



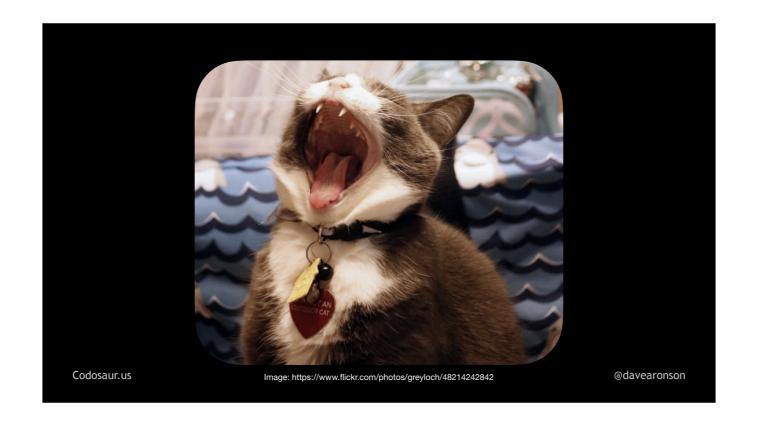
... four squared and two to the fourth, are both sixteen. But we can ...



. . . attack these mutants separately, no need to kill them all in one shot and be some kind of superhero about it. To kill *them*, again, we can either add a test, or adjust an existing test, to . . .

```
assert power(2, 3) == 8
Codosaur.us
```

... assert that two to the *third* power is *eight*. Three squared is nine, not eight, so **this kills the argument-swapping mutants**. Better yet, two *plus* three is five, two *times* three is six, and both of those are not eight, so the "plus" and "times" mutants *stay* dead, even if this were still our one and only test. (PAUSE!) With these inputs, the correct operation is the only simple common one that yields the correct answer. This isn't the *only* solution, though; there are *lots* of ways to skin . . .



... that flerken!

This may make mutation testing sound simple, but this was a downright trivial example. So let's look at a more *complex* one! Suppose we have a function to send a message, . . .

. . . like so. send_message, uses send_bytes to send as many bytes as send_bytes could send, like a woodchuck, looping to pick up where it left off, until the message is all sent.

A mutation testing tool could make lots of mutants from this, but one of particular interest, would be . . .

... this, an example of removing a looping control.

Now suppose that this mutant does indeed survive our test suite, which consists mainly of . . .

```
assert send_message(msg, size) == size
Codosaur.us
```

... this. (PAUSE!) There's a bit more that I'm not going to show you quite yet, dealing with setting the size and actually creating the message. But even without seeing that test code, what does the survival of that non-looping mutant tell us? (PAUSE!)

If a mutant that only goes through . . .

. . . that loop once, acts the same as our normal code, as far as our tests can tell, that means that our *tests* are only making our *normal* code go through that loop once. So, what does *that* mean? (PAUSE!) You'll find that interpreting mutants often involves a lot of asking yourself "so, what does that mean", often deeply recursively!

In this case, it means that we're not testing sending a message larger than send_bytes can handle in one chunk! There are many ways that can happen, but we're only going to look at two possibilities. The most *likely* is that we simply *forgot*, or didn't *bother*, to test with a big enough message. For instance, . . .

```
in module Network:
    max_chunk_size = 10_000

in test_send_message:
    msg = "foo"
    size = length(msg)
# other setup, like stubbing send_bytes
    assert send_message(msg, size) == size
    Codosaur.us
@davearonson
```

. . . suppose our maximum chunk size, what send_bytes can handle in one chunk, is 10,000 bytes. But . . .

```
in module Network:
  max_chunk_size = 10_000

in test_sond_message:
  msg = "foo"
  size = length(msg)
# other_setup, like stubbing send_bytes
  assert send_message(msg, size) == size
  Codosaur.us
@davearonson
```

... we're only testing with a *three* byte message. (PAUSE!)

The obvious fix is to deliberately use a message larger than our maximum chunk size. We can easily construct one . . .

```
in module Network:
  max_chunk_size = 10_000

in test_send_message:
  size = socket.max_chunk_size + 1
  msg = "x" * size
  # other_setup, like stubbing_send_bytes
  assert send_message(msg, size) == size
  Codosaur.us
```

. . . like so.

But now let's look at another possible cause and solution. Maybe we *did* test with the *largest* permissible message, out of a set of predefined messages, or message *sizes*. For instance, . . .

```
in module Message:
SmallMsgSize = 1_000
LargeMsgSize = 5_000  # the largest

in test_send_message:
size = Message.LargeMsgSize
msg = Message.make_msg("a" * size)
# other setup, like stubbing send_bytes
assert send_message(msg, size) == size
Codosaur.us
Codosaur.us
```

... here we have Small and Large message sizes, we test with a Large, and yet, this mutant survives! In other words, we're still sending the whole message in one chunk. What could possibly be wrong with that? It sounds like a *good* thing to me! What is this mutant trying to tell us in this case? (PAUSE!)

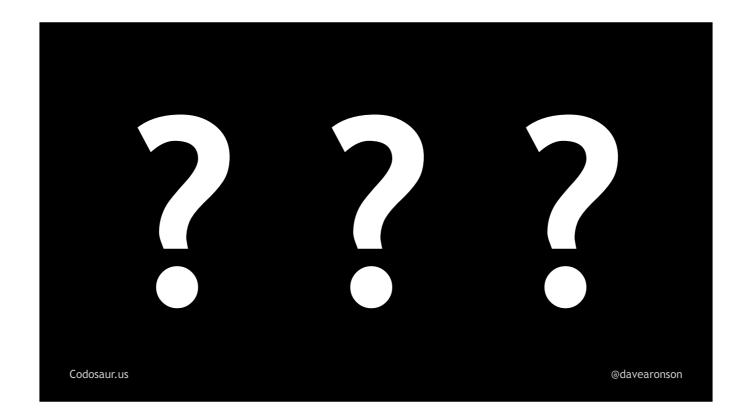
In this scenario, it's trying to tell us that a version of send_message with the looping removed will do the job just fine. If we remove the looping, we wind up with . . .

... this, and if we run our mutation testing tool on *this*, it will show some other stuff as now being redundant, because we only needed it to support the looping. If we *also* remove that, then it boils down to ...

```
def send_message(buf, len):
   return send_bytes(buf, len)
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```

... this. (PAUSE!) Now the message is clear: the *entire* send_message *function* may well be *redundant*, so we can just use send_*bytes directly!* In real-world code, though, it might not be, because there may be some logging, error handling, and so on, needed in send_message, but at the very least, the *looping* was redundant. Fortunately, when it's this kind of problem, the usual solution is clear and easy, just rip out the extra junk that the mutant doesn't have. This will also make our code more *maintainable*, by getting rid of useless cruft that just gets in the way of understanding it.

Now that we've seen examples of finding both bad tests and redundant code, I'll address a couple of . . .



... Frequently Asked Questions. First, this all sounds pretty weird, deliberately making tests fail, to prove that the code succeeds! Where did this whole ...



... bizarro idea come from? Mutation testing has a surprisingly ...



... long history -- at least in the context of computers. It was first proposed in 1971, in Richard Lipton's term paper "Fault Diagnosis of Computer Programs", at Carnegie-Mellon University. The first *tool* didn't appear until 1980, in Timothy Budd's PhD work at Yale. But it was not *practical* on typical computers, until the early 2000s, with significant advances in CPU *speed*, multi-*core* CPUs, and so on. But now, it's practical even on fairly low-end modern systems, like this 2020 MacBook Air that I'm presenting on.

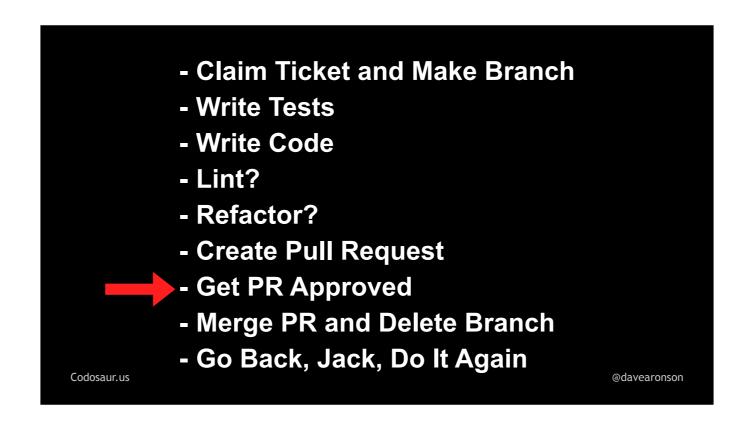
Another common question is: where should we fit this into . . .

- Claim Ticket and Make Branch
- Write Tests
- Write Code
- Lint?
- Refactor?
- Create Pull Request
- Get PR Approved
- Merge PR and Delete Branch
- Go Back, Jack, Do It Again

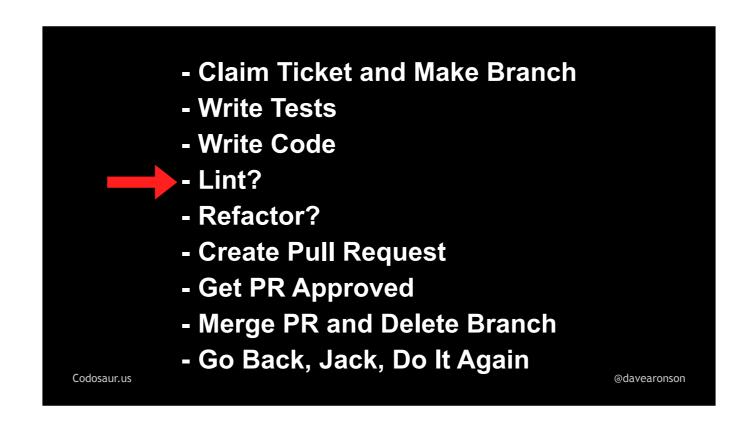
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... our development process? Mainly, I think it belongs at *least* ...



... here, as part of the requirements for a Pull Request (or whatever your process uses) to be approved. You can set some standards for what you're willing to tolerate, on the changed code, or the whole codebase, or both, such as a number of surviving mutants or a percent increase in them, ideally zero or less. Ideally this would be automated, as part of a CI pipeline. That said, I personally would also do it in my *own* work as part of . . .



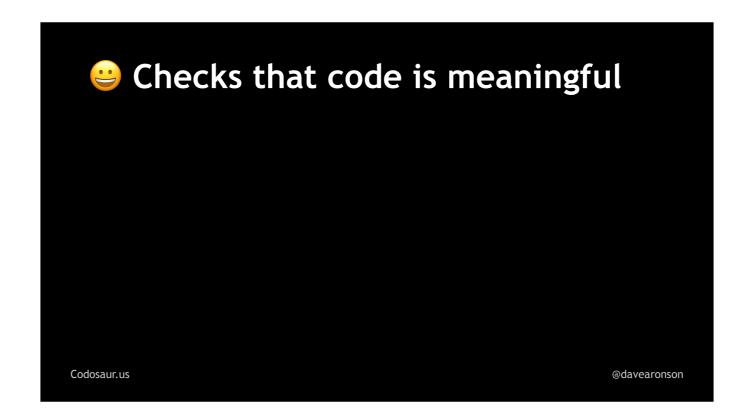
. . . the Linting step, where I apply all sorts of quality checking tools.

If you'd like to try mutation testing for yourself . . .

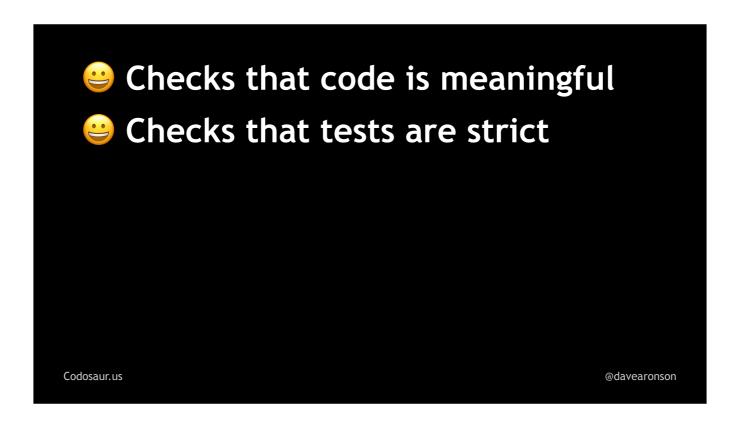


... here is a list of tools for some popular languages and platforms, including of course Python. The tools I know are outdated, are crossed out.

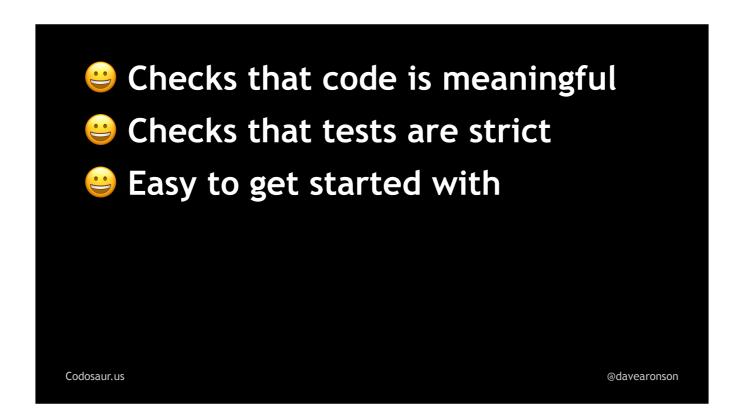
To summarize at last, mutation testing is a powerful technique to . . .



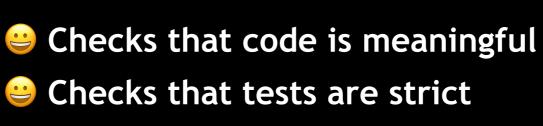
... help ensure that our code is meaningful and ...



... our tests are strict. It's ...



easy to get started with, but it's . . .



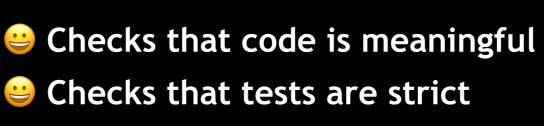
Easy to get started with

Difficult to interpret results

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... not so easy to interpret the results, nor is it ...



- Easy to get started with
- Difficult to interpret results
- Hard labor on the CPU

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... easy on the CPU.

Even if these drawbacks mean it might not be a good fit for our current projects, I still think it's just . . .

- Checks that code is meaningful
- Checks that tests are strict
- Easy to get started with
- Difficult to interpret results
- Hard labor on the CPU
- Fascinating concept!

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... a really cool idea ... in a geeky kind of way.

If you have any questions, . . .



... I'll take them now, and if you think of anything later, there's my contact info, plus the URL for the slides, complete with a full script, which I've mostly stuck to.